

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope addressed to: Box Serial Number, Assistant Commissioner for Patents, Washington, DC 20231 on March 12, 1999

By: Ruth Montalvo / Ruth Montalvo Date: March 12, 1999

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No.: GK-ZEI-3039

Applicant(s): Ulrich SIMON, et al

Serial No.: 09/238,859

Filed: January 28, 1999

For: LASER SCANNING MICROSCOPE WITH AOTF



Group: 2872

Examiner:

**BOX SN**

Assistant Commissioner for Patents

Washington, DC 20231

Attention: Sharon L. Blizzard, Team 01

**RECEIVED**

APR 01 1999

**REQUEST FOR CORRECTED FILING RECEIPT**

TECHNOLOGY CENTER 2800

Sir:

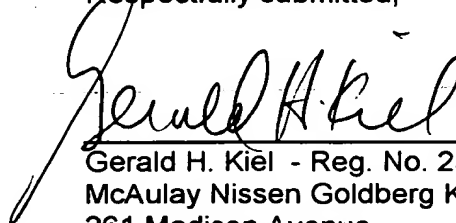
The filing receipt received in the above-identified application (copy attached) contains an error, the second inventor's last name is misspelled. Enclosed are copies of the declaration and our transmittal sheet as filed.

Applicant requests a Corrected Filing Receipt, showing the 2<sup>nd</sup> inventor's last name as follows:

**Second Inventor: Stefan WILHELM (not WILHLEM)**

Accordingly, it is respectfully requested that a Corrected Filing Receipt be issued showing the 2<sup>nd</sup> inventor's name as indicated above.

Respectfully submitted,

  
Gerald H. Kiel - Reg. No. 25,116

McAulay Nissen Goldberg Kiel & Hand, LLP  
261 Madison Avenue  
New York, NY 10016-2391

GHK:ram  
March 12, 1999  
Tel. (212) 986-4090

Enclosures:  
Copies of the filing receipt (marked-up)  
Declaration and transmittal sheet

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/238,859	01/28/99	2872	\$760.00	GK-ZEL-3039	2	8	2

GERALD H. KIEL  
MCAULAY, NISSEN GOLDBERG  
KIEL & HAND  
261 MADISON AVENUE  
NEW YORK NY 10016-2391

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

ULRICH SIMON, ROTHENSTEIN, FED REP GERMANY; STEFAN  
WILHELM, JENA, FED REP GERMANY.

~~WILHELM~~

FOREIGN APPLICATIONS- FED REP GERMANY 18927140.9 06/18/98

\* SMALL ENTITY \*

TITLE  
LASER SCANNING MICROSCOPE WITH AOTF

PRELIMINARY CLASS: 359

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DATA ENTRY BY: BLIZZARD, SHARON .L TEAM: 01 DATE: 02/09/99

(see reverse)

TRANSMITTAL SHEET

EXPRESS MAIL No.: EL 165 270 486 US

Deposited: January 28, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231



261 Madison Avenue  
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E-MAIL: MFNGK@AOL.COM

/ Ruth Montalvo

Assistant Commissioner for Patents  
Washington, DC 20231

Date: January 28, 1999  
Docket No: GK-ZEI-3039

Sir:

Transmitted herewith for filing is the Patent Application (37 CFR 1.53(b)) in the name(s)  
**Ulrich SIMON and Stefan WILHELM**

**COPY**

FOR: LASER SCANNING MICROSCOPE WITH AOTF

ENCLOSED ARE:

- (X) Specification (7 pages), 8 Claims (1 page(s)) & Abstract;
- (X) Figs. 1 - 2 / Two (2) sheet(s) of Drawings;
- (X) Declaration and Power of Attorney;
- (X) Assignment to: *Carl Zeiss Jena GmbH and PTO-1595*;
- (X) Certified copy(ies) of German Pat.Appli.No. 198 27 140.9 filed June 18, 1998, the priority(ies) of which is(are) claimed under 35 USC 119;
- ( ) Verified Statement to establish Small Entity Status (37 CFR 1.9 & 1.27);
- ( ) Information Disclosure Statement, PTO-1449 and \_\_\_ reference(s);

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THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

	Claims filed		Extra	<del>SMALL</del> \$ <del>380.00</del>	LARGE \$ 760.00	AMOUNT \$ 760.00
Total Claims	8	Minus 20		x \$ <del>9.00</del>	x \$ 18.00	\$ .00
Independent	2	Minus 03		x \$ <del>39.00</del>	x \$ 78.00	\$ .00
Multiple dependent claim fee				+ \$ <del>130.00</del>	+ \$ 260.00	\$ .00
Assignment recordation fee (\$ 40.00):						\$ 40.00
<b>CHECK ENCLOSED:</b>						\$ 800.00

The Commissioner is hereby authorized to charge any additional fees associated with the filing of this application but not limited to:

- (X) Any patent application processing fees under 37 CFR 1.17
- (X) Any filing fees under 37 CFR 1.16 for the presentation of extra claims

and any other fees required with this submission or to credit any overpayment to Deposit Account No. 13-0025.

Respectfully submitted

Gerald H. Kiel - Reg. No. 25,116

GHK:ram

UNITED STATES OF AMERICA  
COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

MAR 16 1999

FILE NO. GK-ZEI-3039

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LASER SCANNING MICROSCOPE WITH AOTF

the specification of which

**COPY**

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States patent application Serial Number \_\_\_\_\_.

☐ was filed on \_\_\_\_\_ as PCT international patent application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Germany	198 27 140.9	18 June 1998	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby appoint McAULAY NISSEN GOLDBERG KIEL & HAND and the members of the firm: Lloyd McAulay, Reg. No. 20,423; J. Harold Nissen, Reg. No. 17,283; Jules E. Goldberg, Reg. No. 24,408; Gerald H. Kiel, Reg. No. 25,116; Francis C. Hand, Reg. No. 22,280; Eugene LeDonne, Reg. No. 35,930; Mark Montague, Reg. No. 36,612; Stephen Chin, Reg. No. 39,938; Arthur Dresner, Reg. No. 24,403; and F. Aaron Dubberley, Reg. No. 41,001; as attorneys with full power of substitution and revocation to prosecute all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO:

Gerald H. Kiel, Esq.  
McAulay Nissen Goldberg Kiel & Hand, LLP  
261 Madison Avenue  
New York, New York 10016-2391, U.S.A.

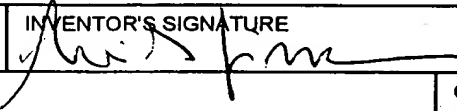
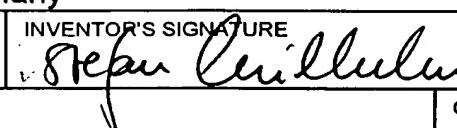
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DIRECT TELEPHONE CALLS TO: (212) 986-4090

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR <b>Ulrich Simon</b>	INVENTOR'S SIGNATURE 	DATE <b>11/JAN/99</b>
RESIDENCE <b>D-07751 Rothenstein, Germany</b>	COUNTRY OF CITIZENSHIP <b>Germany</b>	
POST OFFICE ADDRESS <b>Burgstr. 35, D-07751 Rothenstein, Germany</b>		
FULL NAME OF SECOND INVENTOR (IF ANY) <b>Stefan Wilhelm</b>	INVENTOR'S SIGNATURE 	DATE <b>11/Jan/99</b>
RESIDENCE <b>D-07745 Jena, Germany</b>	COUNTRY OF CITIZENSHIP <b>Germany</b>	
POST OFFICE ADDRESS <b>Am Friedensberg 1, D-07745 Jena, Germany</b>		